



LIST OF COMMON REASON CODES FOR THE OFF MARKET TRADE/INTER DEPOSITORY INSTRUCTIONS

REASON CODE	REASON/PURPOSE
4	Transfer to own account In case target account is in other Depository (i.e. DP of CDSL), Client Master List (CML) of target account required
96	Implementation of Govt./Regulatory Direction/Orders
97	Erroneous Transfer pertaining to Client Securities
12	Buy-back
13	Open offer for Acquisition
3	Margin returned by stockbroker / PCM
94	Refund of securities by IEPF Authority
95	ESOP/Transfer to employee
18	Conversion of Depository Receipt (DR) to underlying securities and vice versa
17	Dissolution/Restructuring/Winding up of Partnership Firm/Trust
16	Merger/ Demerger of corporate entity
14	Redemption of Mutual Fund Units
88	Transfer between Minor Account and Guardian Account
90	Transfer between Partner and Firm, Director and Company
89	Transfer between specified family members Family members means relatives as given below: 1. Spouse, 2. Father (including step-father), 3. Mother (including step-mother), 4. Son (including step-son) 5. Son's wife, 6. Daughter, 7. Daughter's husband, 8. Brother (including step-brother), 9. Sister (including step-sister) 10. Members of same HUF.
15	Transposition - Between joint account of same holders In case target account is in other Depository (i.e. DP of CDSL), CML of target account required for verification.
19	Trust to Beneficiaries/On HUF dissolution to Karta & Coparceners For these type of transfers, supporting documents/Deeds need to be provided by transferor/ account holder(s) to Participants.
21	Transfer from Nominee/Surviving holder to beneficiary
26	Change of nominee shareholder appointed under section 187(1) of Co. Act
27	Off-Market Swap Participant to obtain Declaration from the account holder/ transferor about the swap deal and a certified copy of the swap agreement.
28	Delisting - Exit offer
92	Gift
93	Donation
1	Off-Market Sale Off market Annexure + Consideration Proof + Stamp Duty Paid by investor (Proof) required
23	Transfer of securities from/to account of PMS provider to/from the account of its various clients
24	Margin to Custodian
25	Margin returned by Custodian
29	Deposit of securities with Escrow Agent and its return
10	Market IDT Identifier